

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

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VICKY RONGLEY WILLARD, individually and  
On behalf of All Others Similarly Situated,  
Plaintiff,

-against-

UP FINTECH HOLDING LIMITED, TIANHUA  
WU, JOHN FEI ZENG, YONGGANG LIU, LEI  
FANG, DAVID ERIC FRIEDLAND, VINCENT  
CHUN HUNG CHEUNG, BINSIN TANG, XIN  
FAN, JIAN LIU, XIAN WANG, CITIGROUP  
GLOBAL MARKETS INC., DEUTSCHE BANK  
SECURITIES INC., AMTD GLOBAL MARKETS  
LIMITED, CHINA MERCHANTS SECURITIES  
(HK) CO., LIMITED, and TOP CAPITAL  
PARTNERS LIMITED,

Defendants.  
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DATE FILED: 3/25/2021

19 **CIVIL** 10326 (JMF)

**JUDGMENT**

It is hereby **ORDERED, ADJUDGED AND DECREED:** That for the reasons  
stated in the Court's Opinion and Order dated March 17, 2021, and the Court's Order dated  
March 25, 2021, Defendants' motion to dismiss is GRANTED, and pursuant to Federal Rule of  
Civil Procedure 41(a)(I)(A)(ii), Plaintiff and Defendants by and through undersigned counsel,  
have stipulated that this action and all claims and defenses asserted therein be dismissed without  
prejudice. Each party shall bear their own fees and costs. Judgment is hereby entered for the  
defendants; accordingly, the case is closed.

**Dated:** New York, New York  
March 25, 2021

**RUBY J. KRAJICK**

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Clerk of Court

BY:

*R. Mango*  
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Deputy Clerk